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PTO/SB/05 (4/98)

Approved for use through 09/30/00 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 3364.P039

Total Pages  
(all documents)

First Named Inventor or Application Identifier

Sheem et al.

NEGATIVE ACTIVE MATERIAL...

Express Mail Label No.

EM014064615US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 202311. ☒ \*Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)5. ☐ Microfiche Computer Program (Appendix)2. ☒ Specification Total Pages 25  
(preferred arrangement set forth below)6. ☐ Nucleotide &/or Amino Acid Sequence Submission  
(if applicable, all necessary)

- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims
- Abstract of the Disclosure

- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

3. ☒ Drawing(s) (35 USC 113) Total Sheets 34. ☒ Oath of Declaration Total Pages 3

- a. ☒ Newly executed (original copy)
- b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33 (b).

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

## ACCOMPANYING APPLICATION PARTS

- 7. ☒ Assignment Papers (cover sheet & document(s))
- 8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
- 9. ☐ English Translation Document (if applicable)
- 10. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
- 11. ☐ Preliminary Amendment
- 12. ☒ Return Receipt Postcard (MPEP 503)
- 13. ☐ \*Small Entity ☐ Statement filed in prior app  
Statement(s) Status still proper and desired
- 14. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 15. ☒ Other: REQUEST FOR PRIORITY

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below &amp; in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no: \_\_\_\_\_

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

NAME: Blakely, Sokoloff, Taylor & Zafman LLP  
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Name (Print/Type) ERIC S. HYMAN

Signature

REG. NO. 30,139

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington DC 20231. DO NOT SEND FEES OR

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**FEE TRANSMITTAL**

Note: Effective October 1, 1997

Patent fees are subject to annual revision

**Complete If Known**

<b>TOTAL AMOUNT OF PAYMENT</b> <b>\$690.00 and \$40.00</b>		<b>Application Number</b>	
		<b>Filing Date</b>	
		<b>First Named Inventor</b>	<b>Sheem et al.</b>
		<b>Group Art Unit</b>	
		<b>Examiner Name</b>	
		<b>Attorney Docket No.</b>	<b>003364.P039</b>

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:

Acct # **02-2666**Acct Name **Blakely Sokoloff Taylor & Zafman**

☒ Charge any add'l fee required under 37 CFR 1.16 & 1.17 ☐ Charge issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

**Fee Calculation****1. Filing Fee**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690.
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
<b>Subtotal (1)</b>					<b>\$ 690.00</b>

**2. Claims**

		*	Extra	Fee from Below	Fee Paid
Total Claims	16	20 -		X	
Ind. Claims	3	3 -	0	X	
Multiple Dependent Claims					
Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	78	202	39	Independent claims in excess of 3	
104	260	204	130	Multiple dependent claim	
109	78	209	39	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 & over original patent	
<b>Subtotal (2)</b>					<b>(\$).00</b>

**FEE CALCULATION (continued)****3 Additional Fees**


Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within 1st month	
116	380	216	190	Extension for reply within 2nd month	
117	870	217	435	Extension for reply within 3rd month	
118	1360	218	680	Extension for reply within 4th month	
128	1850	228	925	Extension for reply within 5th month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to Revive-unavoidable	
141	1210	241	605	Petition to Revive-unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of IDS	
581	40	581	40	Recording each patent assignment per property	
146	760	246	380	Filing a submission after final rejection	
149	760	249	380	For each add'l invention to be examined	

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**Subtotal (3)** **(\$)** **40.00****SUBMITTED BY**

<b>Name</b> <b>ERIC S. HYMAN</b>		<b>COMPLETE (if applicable)</b>	
<b>Signature</b> 		<b>Reg. Number</b> <b>30,139</b>	<b>Deposit Acct User ID</b>
<b>Date</b> <b>2/4/2000</b>			

\*Highest number of claims previously paid for if an amendment is being transmitted.

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